

CONTINUOUS AUDIT AND COMPLIANCE READINESS WHY, WHAT AND HOW

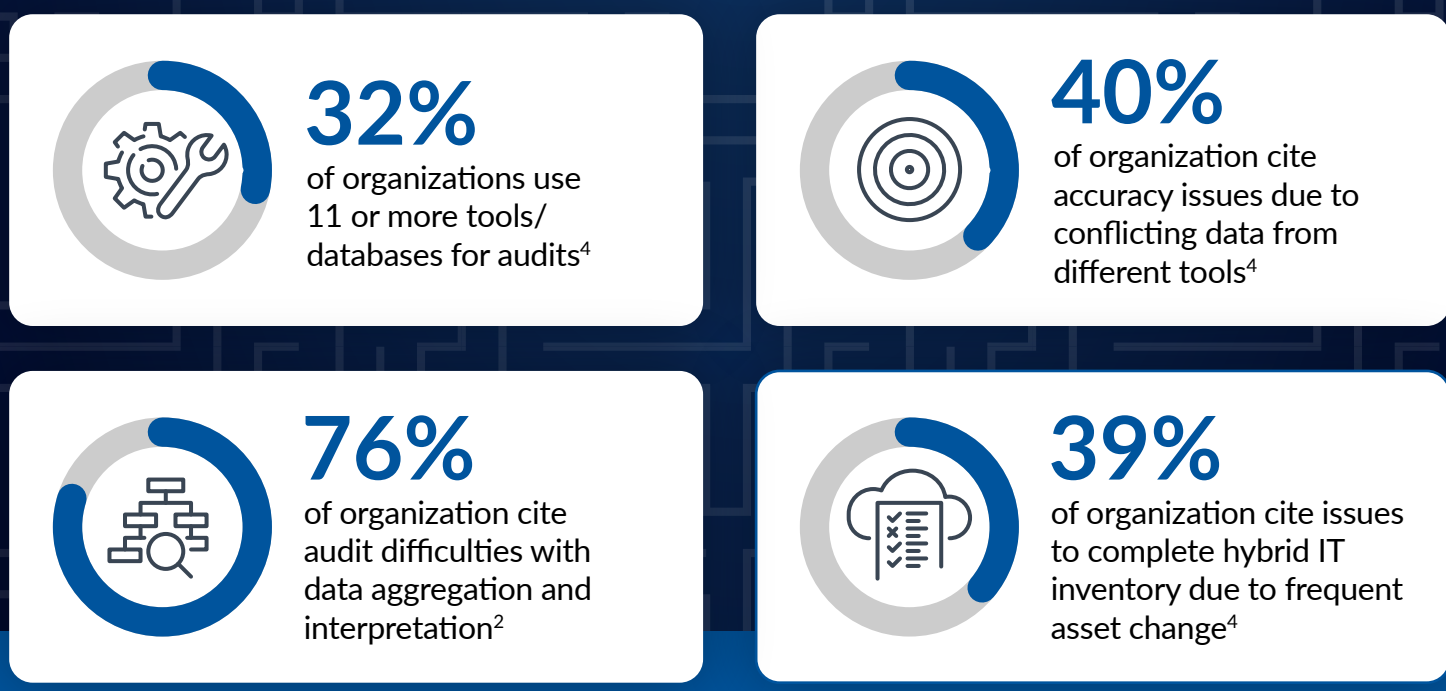
Audit and compliance validation processes remain challenging, costly and resource-intensive



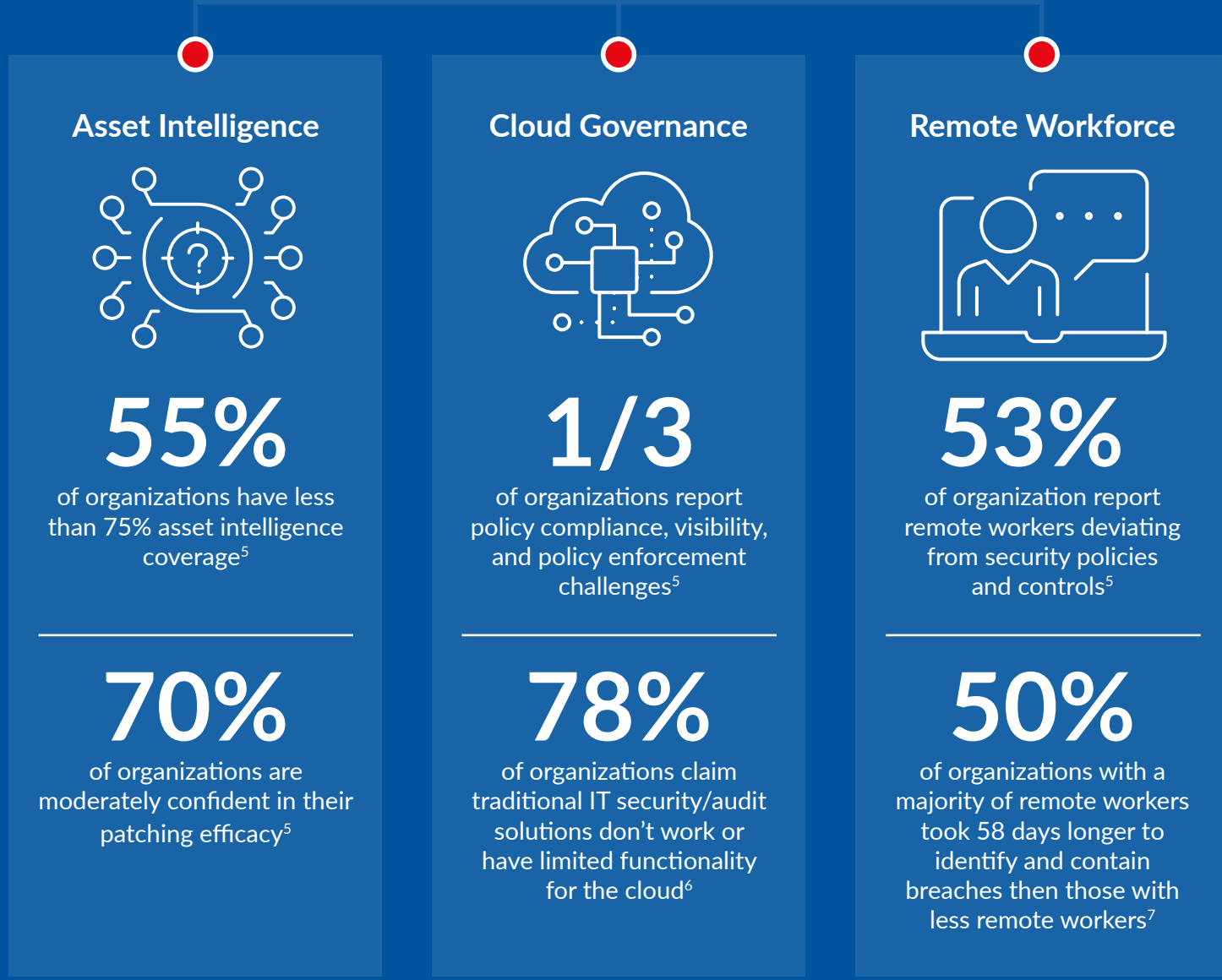
Outcomes



Complexity



Exposures

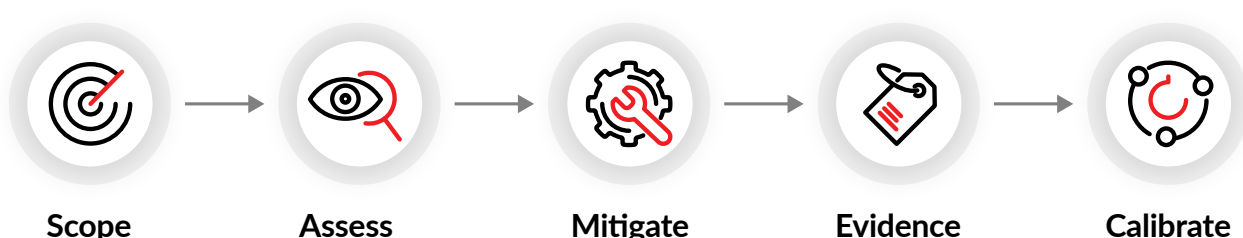


Process Automation is the Key to Audit and Compliance Efficacy



Audit and Compliance Readiness Process Automation: From Scope to Evidence

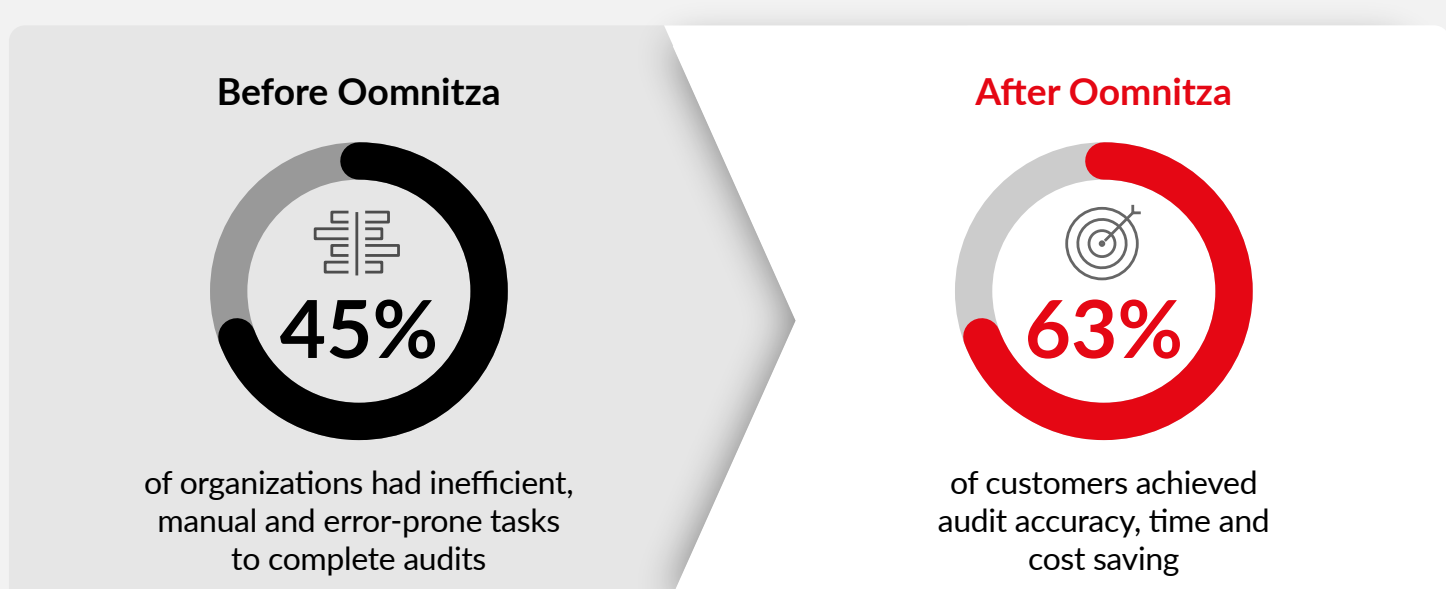
Increase risk mitigation efficacy and reduce audit delays, gaps, costs and penalties.



Essential steps for successful audit and compliance workflows

- Scope**
 - Identify requirements
 - Determine roles and assets/technologies in scope
 - Create compliance policy checks
 - Associate IT and security tools to be leveraged
- Assess**
 - Monitor and verify policy compliance
 - Discover gaps
 - Notify stakeholders
 - Determine & provide remediation options
- Mitigate**
 - Initiate remediation or compensating controls
 - Validate resolution
 - Ascertain exposures
- Evidence**
 - Substantiate adherence
 - Share context with other management tools
 - Document findings
 - Prepare audit reports
- Calibrate**
 - Resolve errors, gaps and exceptions
 - Leverage additional controls and tools
 - Refine policies and reports
 - Continuous improvement

Oomnitza automates audit readiness and compliance validation processes⁸



1. ESG Research: 2021: State of Data Privacy and Compliance
2. Vaonsonborne/Telos: 2020 Survey, A Wake Up Call: The Harsh Reality of Audit Fatigue
3. You-Gov/Oomnitza: 2022 State of Audit Readiness report
4. ESG Research: 2022 Security Hygiene and Posture Management
5. CyberSecurity Insiders: 2022 Attack Surface Management Maturity report
6. IBM: 2022 Cloud Security Report
7. IBM: 2021 Survey, Cost of a Data Breach
8. TechValidate: 2022 October, Survey of Oomnitza users